

Atty. Dkt. No. 033873-0108

IN THE UNITED STATES PATENT AN D TRADEMARK OFFICE

Applicant:

Osamu HONMOU et al.

Title:

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INTERNALLY ADMINISTERED THERAPEUTIC AGENTS FOR CRANIAL NERVE DISEASES COMPRISING MESENCHYMA CELLS AS AN ACTIVE INGREDIENT

(As Amended)

International Appl. No.:

PCT/JP2004/009386

International Filing Date:

6/25/2004

Examiner:

Unassigned

Art Unit:

Unassigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

RELEVANCE OF EACH DOCUMENT

The documents being cited in the attached PTO/SB/08 were cited as being relevant during the prosecution of the corresponding international application. A copy of the International Search Report is attached setting forth the portion of the documents considered relevant by the examiner. A copy of the cited references listed in the Search Report are not being submitted herewith.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date <u>Ger. 12, 2005</u>

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Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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				U.S. PATENT DOCUMENTS		
Examiner Initials*	Cite	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages or Relevant
	No.1	Number	Kind Code ² (<i>if</i> known)	Document	Cited Document MM-DD-YYYY	Figures Appear
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U.S. PATENT APPLICATION DOCUMENTS									
Examiner Initials*	Cite No.1	U.S. Patent Application Document Kind Code ² (if		Name of Patentee or Applicant of Cited	Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant			
	NO.	Serial Number	known)	Document	MM-DD-YYYY	Figures Appear			

				FOREIGN PATENT DOCUMENTS			
Examiner Initials*	Cite No.1	Foreign Patent Docum Office ³ Number ⁴	nent Kind Code ⁵ (<i>if known</i>)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Te
	A1	JP 2004-544234 A		HENRY FORD HEALTH SYSTEM	12/24/2002		
- W. L. S	A2	WO 01/66698 A1		CRYO-CELL INT. INC.	9/13/2001		
Protestan .	A3	WO 03/25167 A2		CENT TRANSLATIONAL RES IN CANCER	3/27/2003		

Examiner Initials*	l item (book magazine journal certal cymnocium catalog etc.) date nage(c) yolume.jccue number(c) nublicher		1e
	A4	DATABASE BIOSIS ON STN, (Last Updated on Stn: 6/19/2003) abstract no. 200300283245 & S. IIHOSHI et al., "Intravenous administration of autologous bone marrow cells repairs the ischemic lesions in the rat middle cerebral artery occlusion model", Society for Neuroscience Abstract Viewer & Itinerary Planner, (2002), vol. 2002, abstract no. 237.8., http://sfn.scholarone.com	
	A5	D. LU et al., "Adult bone marrow stromal cells administered intravenously to rats after traumatic brain injury migratein to brain and improve neurological outcome", Neuroreport, 2001, vol. 12, No. 3, pp. 559-563.	
	A6	J. CHEN et al., "Therapeutic benefit of intravenous administ ratino of bone marrow stromal cells after cerebral ischemia in rats", Stroke, 2001, Vol. 32, No. 4, pp. 1005-1011.	
	A7	K. CHU et al., "Human neural stem cells can migrate, differentiate and integrate after intravenous transplantation in adult rats with transient forebrain ischemia", Nurosci. Lett., 6/5/2003, Vol. 343, No. 2, pp. 129-133.	
	A8	Y. AKIYAMA et al., "Remyelination of the spinal cord following intravenous delivery of bone marrow cells", Glia., (2002), Vol. 39, pp. 229-236.	

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Examiner Signature	Date Considered	

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	STATEMENT BY A	PPLICAN	T	Filing Date	December 23, 2005	
	Date Submitted: Decem	shor 22	2005	First Named Inventor	Osamu HONMOU	
	Date Submitted, Deten	iluei 23,	2003	Group Art Unit	Unassigned	
	(use as many sheets a	as neces	sary)	Examiner Name	Unassigned	
Sheet	2	of	2	Attorney Docket Number	033873-0108	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Le
•	A9	A. MAHMOOD et al., "Treatment of traumatic brain injury in female rats with intravenous administration of bone marrow stromal cells", Neurosurgery, 2002, vol. 49, no. 5, pp. 1196-1203.	
	A10	DATABASE MEDLINE ON STN, (2002), abstract no. 2002333228 & D. LU et al., "Intravenous administration of human unbilical cord blood reduces neurological deficit in the rat after traumatic brain injury", Cell Transplant. (2002), Vol. 11, No. 3, pp. 275-281.	
,	A11	DATABASE BIOSIS ON STN, (2001), abstract no. 200100547979 & Y. AKIYAMA et al., "Remyelination of spinal cord axons by intravenous delivery of bone marrow cells", Society for Neuroscience Abstracts, 2001, Vol. 27. No. 2, pg. 1562.	
. ,	A12	DATABASE BIOSIS IN STN, (2003), abstract no. 200300315449 & P. LU et al., "Transplantation of bone marrow stromal cells (MSCS) and BDNF-transducer MSCS promotoes robust axonal growth after spinal cord injury", Society for Neuroscience Abstract Viewer & Itinerary Planner, 2002, Vol. 202, abstract no. 634.11 http://sfn.scholarone.com.	
	A13	MA KEANE-MOORE et al., "Human mesenchylmal stem cells can be genetically modified to function as antigen presenting cells", Blood, (1998), Vol. 92, No. 10, suppl. 1, part 1 to 2, pg. 338A, Abstract #1388.	
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